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			Application Serial Number		0	09/912,947		
TRANSMITTAL			Filing Date		1,	July 25, 2001		
			First Named Inventor		D	Dahlbäck et al.		
			Group Art	Jnit	1	644		
			Examiner N	Examiner Name		lot Yet Assigned		
l	FORM		Attorney D	Attorney Docket No.		INL-036DV		
	•		Patent No.		- N	Not applicable		
			Issuc Date		N	Not applicable		
		ENG	CLOSURES	heck all that apply)		Notice of Appeal to Board of Patent Appeals and Interference Appeal Brief (in triplicate)		
	Fee Transmittal Form		Copy of Notic	c to File Missing		Notice of Appeal to Board		
	Check Attached		Parts of Appli	cation (PTO-1553)		of Patent Appeals and Interferences		
	Copy of Fee Transmittal Form		Formal Drawing: ( Sheets)		Appeal Brief (in triplicate)			
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	Ansendment		Request For C Examination (			Status Inquiry		
	Preliminary After Final		Transmittal	Transmittal		Return Receipt Postcard		
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	☐ Letter to Official Draftsperson		(Revocation o	of Prior Powers)		Certificate of First Class Mailing under 37 C.F.R. 1.8		
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	Petition for Extension of		Executed Declaration and Power		×	Additional Enclosure(s)		
Time		of Attorney for Utility or Design		-	(please identify below)			
						Transmittal to Respond to Request for Substitute Papers		
т	☐ Information Disclosure ☐ Statement		Conall Casing C	Small Entity Statement		Copy of the Request for Substitute Papers.     Copy of the original postcard submitted		
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					U.S.	Patent and Trademark Office stamped		
	Form PTO-1449	_			4. C	opy of the check for \$110.00.		
	Copies of IDS Citations		CD(s) for large program	CD(s) for large table or computer		Copy of the Certificate of First Class     Mailing Under 37 C.F.R. 1.8 dated 11/9/01.		
					6. C	6. Copy of the Transmittal Form dated		
	Certified Copy of Priority		Amendment A	Amendment After Allowance		opy of the Fee Transmittal dated 11/9/01.		
	Document(s)	1				<ol> <li>Copy of the Petition for Extension of Time Under 37 C.F.R. 1,136(a).</li> </ol>		
		Request for Certificate of		9. Copy of the Response to Notice of				
	Sequence Listing submission		Correction			omplete Reply and copy of the Abstract. Copy of the Notice of Incomplete Reply		
		_				nProvisional).		
	Computer Readable Copy		duplicate)	or confection (in				
	Statement verifying identity of above							
COR	RESPONDENCE ADDRESS		SIGNATURE BL	OCK				
				granari orde bis	UCK	Respectfully submitted,		
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thi High Street Tower 125 High Street			the state of the s		,	Mana MI Stais		
				Date: April 16, 2003 Reg. No. 43,153		Diana M. Steel		
		Boston, MA 02110		Tel. No.: (617) 310- Fax No.: (617) 248-				
		(617) 248-7		FBX 190 (617) 248-	7:00	Testa, Hurwitz & Thibeault, LLP High Street Tower		
	Pax No.:	(617) 248-7100		1		125 High Street		

PATENT INL-036DV (4643/36)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Dahlbäck

GROUP NO.:

1644

SERIAL NO.:

09/912,947

EXAMINER:

Not yet assigned

FILED:

July 25, 2001

TITLE:

NOVEL ANTICOAGULANT COFACTOR ACTIVITY ASSAYS FOR

DETERMINING ANTICOGULANT COFACTOR ACTIVITY

### CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence, and any documents referred to as attached hereto, are being transmitted to the U.S. Patent and Trademark Office, Room 1B03, Crystal Plaza Building 2, Arlington, Virginia 22202, Facsimile No. (703) 746-4060(OIPE) on this 16th day of April, 2002.

Date of Signature

Brenda T. Kowalczuk

U.S. Patent and Trademark Office Room 1B03 Crystal Plaza Building 2 Arlington, Virginia 22202

Sir

#### Submitted herewith are:

Telecopy Cover Sheet (1 pg.); Transmittal Form (1 pg.); Transmittal to Respond to Request for Substitute Papers (1 pg.); Copy of the Request for Substitute Papers (1 pg.); Copy of the Request for Substitute Papers (1 pg.); Copy of the original postcard submitted dated 11/9/01 and the stamped postcard from U.S. Patent and Trademark Office stamped 1/10/02 (1 pg.); Copy of the check for \$110.00 (1 pg.); Copy of the Cratificate of First Class Mailing Under 37 C.F.R. 1.8 dated 11/9/01 (1 pg.); Copy of the Transmittal Form dated 11/9/01 (1 pg.); Copy of the Fettion for Time Under 37 C.F.R. 1.136(a) (1 pg.); Copy of the Response to Notice of Incomplete Reply and copy of the Abstract (2 pgs.); Copy of the Notice of Incomplete Reply (NonProvisional) (1 pg.); and this Certificate of Facsimile Transmission (1 pg.).

PATENT INL-036DV (4643/36)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Dahlhäck

GROUP NO.: EXAMINER:

1644

SERIAL NO.:

Not yet assigned

FILED:

09/912,947
July 25, 2001

TITLE:

NOVEL ANTICOAGULANT COFACTOR ACTIVITY
ASSAYS FOR DETERMINING ANTICOGULANT

COFACTOR ACTIVITY

U.S. Patent and Trademark Office Room 1B03 Crystal Plaza Building 2 Arlington, Virginia 22202

## Transmittal to Respond to Request for Substitute Papers

Sir:

Applicants submit that the below listed documents is a complete and accurate copy of the November 9, 2001 filing.

- Copy of the original postcard submitted dated 11/9/01 and the stamped postcard from U.S. Patent and Trademark Office stamped 1/10/02;
  - Copy of the check for \$110.00;
  - Copy of the Certificate of First Class Mailing Under 37 C.F.R. 1.8 dated 11/9/01;
  - Copy of the Transmittal Form dated 11/9/01;
  - Copy of the Fee Transmittal dated 11/9/01;
  - Copy of the Petition for Extension of Time Under 37 C.F.R. 1.136(a);
  - 7. Copy of the Response to Notice of Incomplete Reply and copy of the Abstract; and
  - 8. Copy of the Notice of Incomplete Reply (NonProvisional).

Serial No. 09/912,947 Page 2

Enclosed is a copy of the Request for Substitute Papers. Applicants believe that no fees are necessitated by the present Amendment. However, in the event that any additional fees are due, the Commissioner is hereby authorized to charge any such fees to Attorney's Deposit Account No. 20-0531.

Respectfully Submitted,

Date: April 16, 2002

Tel. No. (617) 310-8168

Fax No. (617) 248-7100

Diana M. Steel Reg. No.: 43,153

Attorney for Applicant Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street

Boston, MA 02110

PINELL.



### UNITED STATES PATENT AND TRADEMARK OFFICE



NITED STATES DEPARTMENT OF COMMERCE
of the States Patent and Trademark Office
Washington, D.C. 19251
Washington, D.C. 19251

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ſ	Application Number	Filing Date	First Named Applicant	Atty. Docket No.3	APR	1. 6 2002	2
ı	09/912,947	07/25/2001	Dahlback, Biotn	INL-036DV		. (	Æ,

21323 TESTA, HURWITZ & THIBEAULT, LLP HIGH STREET TOWER 125 HIGH STREET BOSTON MA 02110

APR 0 4 2 2 2

PATEN: L., IFIBEROLI, L.P

Title: Novel anticoagulant cofactor

Date Mailed:03/18/2002

# Request for Substitute Papers

The papers filed on 01/10/02 (certificate of mailing dated 11/09/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1,52/a) flue to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-406(Q1PE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia. 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Diana M. Steel Registration No.: 43, 153

Signature: Date: 4/16/62



The "RECEIVED" stamp of the Patent Office imprinted heron acknowledges the filing
of:

Certificate of First Class Mailing (1 pg.); Transmittal Form (1 pg.); Fee Transmittal (1 pg.); Petition for Extension of Time (1 pg.); Response to Notice of Incomplete Reply (2 pgs.); Copy of Notice of Incomplete Reply (1 pg.); and Check in the Amount of \$110.00.

Name of Applicant:

DAHLBACK 09/912,947

Intf. or Serial Number:

RPMoore / DMSteel

Atty:

November 9, 2001

INL-036DV (4643/36)

The "RECEIVED" stamp of the Patent Office imprinted heron acknowledges the filing of:

Certificate of First Class Mailing (1 pg.); Transmittal Form (1 pg.); Fee Transmittal (1 pg.); Petition for Extension of Time (1 pg.); Response to Notice of Incomplete Reply (2 pgs.); Copy of Notice of Incomplete Reply (1 pg.); and Check in the Amount of \$110.00.

Name of Applicant:

DAHLBACK

Intf. or Serial Number:

09/912.947

Attv:

RPMoore / DMSteel

Date:

November 9, 2001

04/16/02 TUE 16:24 FAX

Testa, Hurwitz & Thibeault, LLP

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PATENT Attorney Docket No. INL-036DV

APR 1.8 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Dahlback

SERIAL NO.: 09/912,947 FILING DATE: July 25, 2001 GROUP NO.: EXAMINER: Not yet assigned Not yet assigned

TITLE:

NOVEL ANTICOAGULATION COFACTOR ACTIVITY

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington. DC 20231 on this 9th day of November/2001.

Jule Hesthaver

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 pg.); Fee Transmittal (1 pg.); Petition for Extension of Time (1 pg.); Response to Notice of Incomplete Reply (2 pgs.); Copy of Notice of Incomplete Reply (1 pg.); Check in the Amount of \$110.00; and postcard.

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			Application Serial Number		09/912,947 ° ,		
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			Patent No.		Not applicable		
			Issue Date	Not applicable			
Г		EN	CLOSURES (c	heck all that apply)			
Ø	Fee Transmittal Form	0	Copy of Notice	to File Missing eation (PTO-1553)	0	Notice of Appeal to Board of Patent Appeals and Interferences	
	☐ Check Attached ☐ Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (In triplicate)	
	Amendment/Response	Examination				Status Inquiry	
ŀ	After Final	_			×	Return Receipt Postcard	
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Ø	Petition for Extension of Time		Executed Declaration and Pow of Attorney for Utility or Desig Patent Application		Ø	Additional Enclosurc(s) (please identify below)	
	Information Disclosure Statement	<u> </u>	Small Entity S		Ø	Response to Notice of Incomplete Reply	
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	Certified Copy of Priority		Amendment A	fter Allowance	1		
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CORRESPONDENCE ADDRESS				SIGNATURE BL			
Direct all correspondence to: Patent Administrator Teast, Hurvitz Erast, Hugh Street 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7 Fax No.: (617) 248-7			hibeault, LLP - <b>700</b> 0	Date: November 9, 2001 Reg. No. 43,153 Tcl. No.: (617) 310-8168 Fax No.: (617) 248-7100		Respectfully submitted.  Diana M. Strel Diana M. Strel Attorney for Applicant Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Doston, MA 02110	

	ion Serial Numb		Complete if Known	Known			
FEE TRANSMITTAL	tion Serial Number 09/912,947			$\mathcal{N}$			
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METHOD OF PAYMENT  1. Payment Enclosed:		7 ADDITIO	FE	E CALCULATION (continued)			
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to Deposit Account No. 20-0531.							
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Additional fee required under 37 CFR 1	.16 and	30	23	Surcharge - late provisional filing fee or cover sheet			
Overpayment Credit.		130	130	Non-English specification			
3. Applicant claims small entity status,		2,520	2,520	Request for ex parte reexamination			
FEE CALCULATION		110	55	Extension for reply within first month	110.00		
1. FILING FEE		400	200	Extension for reply within second			
				month			
Large Entity		920	460	Extension for reply within third month			
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		1960	980	Extension for reply within fifth month			
740 Utility filing fee		320	160	Notice of Appeal			
330 Design filing fee		320	160	Filing a brief in support of an appeal			
160 Provisional filing fee		280	140	Request for oral hearing			
		130	130	Petitions to the Commissioner			
Number Number Rate		180	180	Submission of Information Disclosure			
Filed Extra	Amount	740	370	Statement Filing a submission after final			
		,,,,	3.0	rejection (37 CFR 1.129(a))			
Total Claims - 20 = x \$ 18.00 ≈		740	370	For each additional invention to be			
Independent		100	100	examined (37 CFR 1.129(b))			
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Patent Administrator			A 2 42				
Testa, Hurwitz & Thibeault, LI	Date: November 9, 2001 (h) ene Mistors						
High Street Tower-125 High S	Reg. No.: 43.153 Diana M. Steel						
Boston, MA 02110	Tel. No.: (617) 310-8168 Attorney for the Applicants Fax No.: (617) 248-7100 Tests, Hurwitz & Thibeault, LLP						
Tel. No.: (617) 248-7000	High Street Tower-125 High Street						
Fax No.: (617) 248-7100	1		Boston, MA 02110	ou.c.			



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Dahlbäck

July 25, 2001

SERIAL NO.: FILING DATE: 09/912,947

ART UNIT: EXAMINER: Not yet assigned Not yet assigned

TITLE:

Novel Anticoagulant Cofactor Activity

### RESPONSE TO NOTICE OF INCOMPLETE REPLY

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to Incomplete Reply mailed October 19, 2001 with respect to the above-identified application, please find the Abstract attached on a separate sheet. Also enclosed a petition for extension of time up to and including November 15, 2001 and the extension fee. Applicants believe that no additional fees are due. Should Applicants be in error, please charge Deposit Account No. 20-0531

Respectfully submitted.

Date: November 9, 2001

Reg. No. 43,153

Tel. No. (617) 310-8168

Fax: (617) 248-7100

Diana M. Steel, D. Phil. Attorney for Applicant

Testa, Hurwitz, & Thibeault High Street Tower

125 High Street Boston, Massachusetts 02110

Response to Notic of Incomplete Reply U.S. Serial No. 09/912,947 Page 2 OIPE APR 1 8 2002

### -- Abstract

Methods are disclosed for determining, in a sample derived from a human, the functional activity of a component of the human blood coagulation system, which activity can be correlated to conversion of a substrate specific for activated Protein C (APC), by measuring in an assay medium containing the sample and a substrate for APC, the conversion of the substrate by APC and correlating the conversion to the functional activity of the component. When the component is anticoagulant Factor V, at least one of exogenous APC, Protein S or an inhibitor of Protein S activity is added to the medium. When the component is Protein C, APC, or Protein S, exogenous anticoagulant Factor V or an inhibitor of anticoagulant activity of Factor V is added to the medium. Methods are also disclosed for diagnosing a blood coagulation/anticoagulant disorder or for determining a predisposition thereto in a human by determining anticoagulant Factor V activity in an assay medium containing a sample derived from the human.—



## INITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

LICATION NUMBER 00/912 947

FILING/RECEIPT DATE 07/25/2001

FIRST NAMED APPLICANT

Biorn Dahlback

ATTORNEY DOCKET NUMBER INI.-036DV

021323 TESTA, HURWITZ & THIBEAULT, LLP HIGH STREET TOWER 125 HIGH STREET BOSTON, MA 02110

CONFIRMATION NO. 7730 FORMALITIES LETTER A FETTI MATERIA PARA CONTROL C OC000000000003362

Date Mailed: 10/19/2001

### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 09/13/2001 to the Notice to File Missing Parts (Notice) mailed 08/15/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

· Abstract must be on a separate sheet.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE